# SEMISIMPLICITY OF EXTERIOR POWERS OF SEMISIMPLE REPRESENTATIONS OF GROUPS

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ABSTRACT. This paper answers a question posed by Jean-Pierre Serre; namely, a proof is given that if *V* is a semisimple finite dimensional representation of a group *G* over a field *K* of characteristic p > 0, and  $m(\dim_K V - m) < p$ , then  $\bigwedge^m V$  is again a semisimple representation of *G*.

### 1. INTRODUCTION

An important feature of the representation theory of a group G over a field K is the following: given representations (modules) V and W of the group algebra KG, the tensor product  $V \otimes_K W$  is again a representation of KG. In this paper, all representations will be assumed finite dimensional over K. When the field K has characteristic zero, the notion of semisimplicity is stable under the tensor product; namely, if V and W are semisimple KG modules then  $V \otimes_K W$  is again semisimple ([Che54], p. 88). In particular, when K has characteristic 0 and V is semisimple, the modules  $V^{\otimes n}$ ,  $\bigwedge^n V$  (the exterior power of V), and  $S^n V$  (the symmetric power of V) are semisimple for all  $n \ge 0$ . If the characteristic of K is p > 0, the tensor product is not as well behaved. Never-

the characteristic of K is p > 0, the tensor product is not as well behaved. Nevertheless, J.-P. Serre has established the following condition for semisimplicity:

**Theorem 1.** (Serre, [Ser94] Théorème 1) Assume that K has characteristic p > 0 and that  $V_i$ ,  $1 \le i \le r$ , are semisimple representations of G. If  $\sum_{i=1}^{r} (\dim_K V_i - 1) < p$ , then  $V_1 \otimes V_2 \otimes \cdots \otimes V_r$  is again semisimple.

Serre also proves the following:

**Theorem 2.** (Serre, [Ser94] Théorème 2) Assume that K has characteristic p > 0 and that V is a semisimple representation of G of dimension n. If  $n \leq \frac{p+3}{2}$ , then  $\bigwedge^2 V$  is semisimple.

Serre finally poses the following generalization of the previous result:

*Problem* 1. (Serre, [Ser94]) Let *V* be a semisimple representation of *G* of dimension *n*. Let m > 0, and assume that m(n - m) < p. Is  $\bigwedge^m V$  semisimple?

Theorem 2 provides an affirmative answer to this problem for m = 2. During the initial work on this paper, the author was also aware of unpublished work of Serre which gave an affirmative answer for m = 3.

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Some time after the publication of [Ser94], Serre generalized this question a bit more, as follows:

*Problem* 2. (Serre, unpublished) Let  $\mathbf{V} = (V_1, V_2, \dots, V_s)$  be a sequence of semisimple representations of *KG*, and let  $\mathbf{m} = (m_1, \dots, m_s)$  where the  $m_i$  are integers satisfying  $1 \le m_i \le \dim_K V_i = n_i$  for each *i*. Put

$$\bigwedge^{\mathbf{m}} \mathbf{V} = \bigwedge^{m_1} V_1 \otimes_K \ldots \otimes_K \bigwedge^{m_s} V_s.$$

If  $\sum_{i} m_i(n_i - m_i) < p$ , is  $\bigwedge^{\mathbf{m}} \mathbf{V}$  semisimple?

We introduce some notations for convenience; let  $\mathcal{M}$  denote the class of all finite sequences  $\mathbf{V} = (V_1, \ldots, V_s)$  for  $s \ge 1$  of semisimple KG modules. We say that  $\mathbf{V}$  has type s if  $\mathbf{V}$  involves s semisimple KG modules. Given  $\mathbf{V} \in \mathcal{M}$  of type s, let  $\mathcal{N}(\mathbf{V})$  denote the set of all integral s-tuples  $\mathbf{m} = (m_1, \ldots, m_s)$  such that  $0 \le m_i \le \dim_K V_i = n_i$  and  $\sum_{i=1}^s m_i(n_i - m_i) < p$ . Given  $\mathbf{m} \in \mathcal{N}(\mathbf{V})$ , we may form the module  $\bigwedge^{\mathbf{m}} \mathbf{V}$  as above. In this paper, we prove:

**Theorem 3.** Problem 2 has an affirmative answer. More precisely, for every  $\mathbf{V} \in \mathcal{M}$ , and for every  $\mathbf{m} \in \mathcal{N}(\mathbf{V})$ ,  $\bigwedge^{\mathbf{m}} \mathbf{V}$  is semisimple.

Notice that the theorem implies Theorems 1 and 2, and it implies that Problem 1 has an affirmative answer.

The chronology of the solution is as follows. The author first proved that Problem 1 has an affirmative answer when V is an absolutely simple G module. Upon completion of this work, the author learned that J.-P. Serre had posed Problem 2 and, at roughly the same time, verified its validity through a quite different argument involving the notion of "G-completely reducible subgroups" of a reductive algebraic group G as described in his June 1997 lectures at the Isaac Newton Institute in Cambridge. Upon Serre's suggestion, the original techniques of the author (those used in answering Problem 1 in the absolutely simple case) were considered for Problem 2; this re-examination produced the proof of Theorem 3 given here.

The result of this paper fits into a family of results relating the dimension of a representation to its semisimplicity. The results of [Ser94] have already been pointed out. When the group G is a reductive algebraic group over K, Jantzen [Jan96] proved that any rational representation V with  $\dim_K V \leq p$  is automatically semisimple; he proves the same for the finite groups of  $\mathbb{F}_q$  rational points  $G(\mathbb{F}_q)$  – although in this case one must exclude factors of type  $A_1$  from G.

When *G* is quasisimple of rank *r*, the author has generalized Jantzen's result; namely he has shown [McN98] that whenever  $\dim_K V \leq r.p$ , *V* is semisimple. This work was extended in [McNb] to cover the finite groups  $G(\mathbb{F}_q)$ ; however, there are a few more exceptions than in Jantzen's situation.

Our proof of Theorem 3 follows closely that of Theorem 1 given in [Ser94]. The basic idea is to prove the Theorem first in case G is a simply connected, connected, simple algebraic group; in this setting the argument is handled via the linkage principle combined with weight combinatorics. See §3 for the argument in this case. The result for

general groups is obtained through a saturation process. In  $\S4$ , we adapt the saturation procedure of Serre to obtain the desired result.

I would like to thank Jean-Pierre Serre for some valuable suggestions.

# 2. PRELIMINARIES AND REDUCTIONS

2.1. **Notations.** Tensor products, exterior powers, and symmetric powers are always taken over the fixed ground field K unless otherwise noted. The notation  $V^{\otimes m}$  means the m-fold tensor product of V with itself. When V is a vector space, the dual vector space is denoted  $V^*$ .

2.2. Some multilinear algebra. If *G* is a group, and *L* is any 1 dimensional *KG* module, any *L*-valued *G*-equivariant non-degenerate bilinear pairing  $\beta$  between *KG* modules *V* and *W* induces a canonically defined *KG* isomorphism  $\tilde{\beta} : V \xrightarrow{\simeq} W^* \otimes_K L$ . Indeed, one can canonically identify  $W^* \otimes_K L$  with  $\text{Hom}_K(W, L)$ ; then  $\tilde{\beta}(v)(w) = \beta(v, w)$  for all  $v \in V$  and  $w \in W$ .

Note that in the above situation, one must have  $\dim_K V = \dim_K W$ ; call this dimension *n*. For any  $1 \le m \le n$ , one has an induced *G*-equivariant bilinear pairing  $\beta : \bigwedge^m V \times \bigwedge^m W \to L^{\otimes m}$  determined by the rule  $\beta(v_1 \land \cdots \land v_m, w_1 \land \cdots \land w_m) = \det(\beta(v_s, w_t))_{s,t}$  where the determinant is computed in the tensor algebra of *L*. In particular, one has a *KG* isomorphism

(2.2.a) 
$$\widetilde{\beta}: \bigwedge^m V \to (\bigwedge^m W)^* \otimes_K L^{\otimes m}.$$

2.2.1. For *V* any *KG* module of dimension *n*, write det(V) for the 1 dimensional representation  $\bigwedge^{n} V$ . For each  $1 \le m \le n$ , the pairing  $\mu : \bigwedge^{m} V \times \bigwedge^{n-m} V \to det(V)$  given by multiplication in the exterior algebra of *V* is *G*-equivariant and non-degenerate, hence there is a *KG* isomorphism

$$\widetilde{\mu} : \bigwedge^m V \to (\bigwedge^{n-m} V)^* \otimes_K \det(V).$$

2.3. An Example. Fix  $m \ge 2$  be an integer. In this section, let K be an algebraically closed field of characteristic p > m, with  $p \equiv -1 \pmod{m}$ . Consider the group  $G = SL_2(K)$ , and take for V the "natural" 2-dimensional G module. When  $d \ge 1$ , the space  $S^d V$  of homogeneous polynomials of degree d in a basis of V affords a representation of G which we denote V(d). This representation satisfies  $\dim_K V(d) = d + 1$ , and in the notation of [Jan87, II.2], one has that  $V(d) = H^0(d)$  is the *induced* module with highest weight d. In particular, V(d) has simple socle L(d). Finally, V(d) is simple if and only if d < p, and Steinberg's tensor product theorem 3.3.1 shows that

$$L(d) \simeq L(d_0) \otimes L(pd_1) = L(d_0) \otimes L(d_1)^{[1]}$$

if  $d = d_0 + pd_1$  with  $0 \le d_0 \le p - 1$  and  $d_1 \ge 0$ .

**2.3.1.** With G and m as above, there is a simple G-module W, such that  $m(\dim_K W - m) = p + 1$  and so that  $\bigwedge^m W$  is not semisimple.

*Proof.* Let  $k = m^2 - m + 1$ ; by hypothesis,  $d = \frac{p+k}{m}$  is an integer. Put W = L(d), the simple G module with highest weight  $d = \frac{p+k}{m}$ . Since  $p > \frac{p+k}{m}$ , this simple module coincides with the module V(d) and hence

$$(2.3.b) n = \dim_K W = \frac{p+k+m}{m}.$$

It follows that

(2.3.c) 
$$m(n-m) = p + k + m - m^2 = p + 1,$$

as desired.

The arguments given below in the proof of 3.5.3 for rank 1 show that p + 1 is the highest weight of  $\Lambda^m W$ . Since  $W = H^0(d)$  is an induced module,  $W^{\otimes m}$  has a good filtration (i.e. a filtration by induced modules) according to a well-known theorem of Donkin, Wang, Mathieu (see [Mat90]).

Since p > m,  $\bigwedge^m W$  is a summand of the module  $W^{\otimes m}$ , hence by [Jan87, Prop II.4.16(b)],  $\bigwedge^{m} W$  has a good filtration. Since p+1 is the highest weight of this module, the induced module  $H^0(p+1)$  must appear as a filtration factor. By Steinberg's tensor product theorem, the socle of  $H^0(p+1)$  is 4 dimensional. Since  $p \ge 3$ ,  $p+2 = \dim_K H^0(p+1)$  is at least 5, so this induced module is not semisimple and the proposition follows. 

Remark 2.1. The above generalizes the example given in [Ser94, Appendice, Remarque (1)]. One can even argue as in *loc. cit.*; one observes that, for a > 0, V(a) may be identified with the space of homogeneous polynomials of degree a in the variables xand y where x and y are a weight-space basis for V. Hence one may define

$$\theta: \bigwedge^m V(d) \to V(p+1) \operatorname{via} \theta(f_1 \wedge \dots \wedge f_m) = \det \left(\frac{\partial^{m-1} f_i}{\partial x^{j-1} \partial y^{m-j}}\right)_{1 \le i,j \le m}$$

One can show that  $\theta$  is surjective and *G*-linear.

2.4. Some important reductions. We observe the following trivial but useful fact:

**2.4.1.** Let  $1 \le m < n$  be positive integers. If m(n-m) < p, then m < p and n < p.

This implies in particular that if  $\mathbf{V} \in \mathcal{M}$  and  $\mathcal{N}(\mathbf{V})$  is non empty, then dim  $V_i < p$  for each *i*. Next, we observe:

## **2.4.2.** Theorem 3 holds provided it is verified when the field K is algebraically closed.

*Proof.* Let  $V \in \mathcal{M}$  and  $m \in \mathcal{N}(V)$ . If  $K' \supseteq K$  is a field extension, one has easily

$$(\bigwedge_{K}^{\mathbf{m}} \mathbf{V}) \otimes_{K} K' \simeq \bigwedge_{K'}^{\mathbf{m}} (\mathbf{V} \otimes_{K} K');$$

(where  $\mathbf{V} \otimes_K K' = (V_1 \otimes_K K', \dots, V_s \otimes_K K')$ ). In particular, if  $\bigwedge_{K'}^{\mathbf{m}}(\mathbf{V} \otimes_K K')$  is semisimple, then also  $\bigwedge_K^{\mathbf{m}} \mathbf{V}$  is semisimple. It only remains to see that  $V_j \otimes_K K'$  is semisimple for each j. Since  $\dim_K V_j < p$ , the argument invoked in [Ser94] Lemme 1 applies; Serre's argument shows that the center of  $End_G(V_i)$ is a separable field extension of K, hence that  $V_i$  is absolutely semisimple. Π We assume from now on that *K* is algebraically closed.

**2.4.3.** Theorem 3 holds provided it is verified for those  $V \in M$  for which all  $V_i$  are simple.

*Proof.* Let S denote the set of all finite sequences of positive integers, and give S the following partial ordering. For  $\alpha = (\alpha_1, \ldots, \alpha_s), \beta = (\beta_1, \ldots, \beta_t) \in S$ , we say that  $\alpha \leq \beta$  provided that  $s \geq t$  and  $\sum_{i=1}^{s} \alpha_i = \sum_{j=1}^{t} \beta_j$ .

Observe that each  $\alpha \in S$  lies over a minimal element in this order; namely, if  $a = \sum \alpha_i$ , then the tuple  $\beta = (\underbrace{1, 1, \ldots, 1})$  is the unique minimal element of S that satisfies

$$\beta \leq \alpha$$

If  $\mathbf{V} \in \mathcal{M}$  is of type *s*, put

$$l = l(\mathbf{V}) = (\mathbf{len}(V_1), \dots, \mathbf{len}(V_s)),$$

where len( $V_j$ ) denotes the length (number of composition factors) of the *KG* module  $V_j$ .

Consider  $\mathbf{V} \in \mathcal{M}$ , with corresponding  $l = l(\mathbf{V}) \in \mathcal{S}$ . Observe that all of the modules in  $\mathbf{V}$  are simple if and only if l is minimal in  $\mathcal{S}$ ; since there is nothing to prove in that case, assume that l is not minimal, and that the theorem is known for any  $\mathbf{W} \in \mathcal{M}$  for which  $l(\mathbf{W}) < l$ . Without loss of generality, assume that  $V_1 \simeq V'_1 \oplus V''_1$  where  $V'_1$  and  $V''_1$ are non-zero KG modules. Let d, d', d'' denote the dimensions of  $V_1, V'_1, V''_1$ .

For  $\mathbf{m} \in \mathcal{N}(\mathbf{V})$  one has

$$\bigwedge^{\mathbf{m}} \mathbf{V} \simeq \bigoplus_{i+j=m_1} \bigwedge^{\mathbf{n}(\mathbf{i},\mathbf{j})} \mathbf{W}$$

where  $\mathbf{W} = (V'_1, V''_1, V_2, \dots, V_s)$  and  $\mathbf{n}(\mathbf{i}, \mathbf{j}) = (i, j, m_2, m_3, \dots, m_s)$  for  $0 \le j \le m_1$ . Note that  $\bigwedge^{\mathbf{n}(\mathbf{i}, \mathbf{j})} \mathbf{W} = 0$  unless  $1 \le i \le d'$  and  $1 \le j \le d''$ .

It is straightforward to see that  $l(\mathbf{W}) < l$ ; the result follows by induction provided we argue that  $\mathbf{n}(\mathbf{i}, \mathbf{j}) \in \mathcal{N}(\mathbf{W})$  whenever  $\bigwedge^{\mathbf{n}(\mathbf{i}, \mathbf{j})} \mathbf{W} \neq 0$ . The required assertion follows immediately from the inequality

$$m_1(d - m_1) = i(d' - i) + j(d'' - j) + i(d'' - j) + j(d' - i) \ge i(d' - i) + j(d'' - j)$$

For  $\mathbf{V} \in \mathcal{M}$ , put  $\tilde{\mathcal{N}}(\mathbf{V}) = \{\mathbf{m} \in \mathcal{N}(\mathbf{V}) : 1 \leq m_i \leq \dim_K V_i/2 \text{ for each } i\}.$ 

**2.4.4.** Theorem 3 holds provided it is verified for every  $V \in \mathcal{M}$  and  $m \in \tilde{\mathcal{N}}(V)$ .

*Proof.* A *KG* module *W* is semisimple if and only if the dual module  $W^*$  is semisimple; similarly, *W* is semisimple if and only if  $W \otimes L$  is semisimple for any 1 dimensional representation *L*.

Let  $\mathbf{V} \in \mathcal{M}$ , and  $\mathbf{m} \in \mathcal{N}(\mathbf{V})$ . Suppose  $\mathbf{V}$  has type s, and consider  $J \subseteq \{1, 2, \dots, s\}$ . Let  $\mathbf{m}'$  be the s-tuple such that  $m'_i = n_i - m_i$  for  $i \in J$ , while  $m'_i = m_i$  otherwise. Define  $\mathbf{V}'$  by the rule  $V'_i = V_i^*$  for  $i \in J$ , and  $V'_i = V_i$  otherwise. Evidently one has  $\mathbf{m}' \in \tilde{\mathcal{N}}(\mathbf{V}')$ . It follows from (2.2.1) that  $\bigwedge^{\mathbf{m}} \mathbf{V} \simeq \bigwedge^{\mathbf{m}'} \mathbf{V}' \otimes_K L$  for some 1 dimensional KG module L; since  $\bigwedge^{\mathbf{m}'} \mathbf{V}'$  is semisimple by assumption, the semisimplicity of  $\bigwedge^{\mathbf{m}} \mathbf{V}$  is obtained.  $\Box$ 

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A *KG*-module *V* will be called tensor decomposable if  $V \simeq X \otimes_K Y$  for *KG* modules *X* and *Y* with dim<sub>*K*</sub> *X* > 1 and dim<sub>*K*</sub> *Y* > 1; otherwise, *V* is tensor indecomposable.

Of course, any module of prime dimension is tensor indecomposable. A straightforward induction shows that any *KG* module may be written in at least one way as a tensor product of finitely many tensor indecomposable modules.

**2.4.5.** Theorem 3 holds provided it is verified for those  $V \in M$  for which each  $V_i$  is tensor indecomposable.

*Proof.* Assume the conclusion of Theorem 3 is valid for those  $\mathbf{V} \in \mathcal{M}$  for which each  $V_i$  is tensor indecomposable, and let  $\mathbf{V} \in \mathcal{M}$  be arbitrary. According to 2.4.4, we must show that  $\bigwedge^{\mathbf{m}} \mathbf{V}$  is semisimple for each  $\mathbf{m} \in \tilde{\mathcal{N}}(\mathbf{V})$ . Let  $j \ge 0$  be the number of i such that  $V_i$  is tensor decomposable; if j = 0 there is nothing to do, so suppose j > 0 and proceed by induction on j.

Without loss of generality we may suppose that  $V_1$  is tensor decomposable, say

$$V_1 \simeq X_1 \otimes_K X_2 \otimes_K \cdots \otimes_K X_r$$

with  $X_i$  tensor indecomposable and  $r \ge 2$ . Fix  $\mathbf{m} \in \tilde{\mathcal{N}}(\mathbf{V})$  and put

$$\mathbf{W} = (\underbrace{X_1, \dots, X_1}_{m_1}, \underbrace{X_2, \dots, X_2}_{m_1}, \dots, \underbrace{X_r, \dots, X_r}_{m_1}, V_2, \dots, V_s),$$
$$\mathbf{n} = (\underbrace{1, \dots, 1}_{rm_1}, m_2, m_3, \dots, m_s).$$

Evidently  $\bigwedge^{\mathbf{m}} \mathbf{V}$  is a quotient of  $\bigwedge^{\mathbf{n}} \mathbf{W}$ . The list  $\mathbf{W}$  has only j - 1 tensor decomposable modules, so the result follows by induction provided  $\mathbf{n} \in \mathcal{N}(\mathbf{W})$ .

Let  $x_i = \dim_K X_i$  for  $1 \le i \le r$ , and let  $d = x_1 \cdot x_2 \cdots x_r = \dim_K V_1$ . Observe that

$$\sum_{i} n_i (\dim_K W_i - n_i) = m_1 (x_1 + x_2 + \dots + x_r - r) + \sum_{j \ge 2} m_j (\dim_K V_j - m_j)$$

Since  $\mathbf{m} \in \tilde{\mathcal{N}}(\mathbf{V})$ , one has  $m_1 \leq d/2$  which implies that  $m_1(d - m_1) \geq \frac{1}{2}m_1d$ . So, it suffices to prove that  $m_1(x_1 + x_2 + \cdots + x_r - r) \leq \frac{m_1d}{2}$ , or equivalently that

(2.4.d) 
$$\frac{x_1 x_2 \cdots x_r}{2} \ge x_1 + x_2 + \cdots + x_r - r.$$

Since  $x_i \ge 2$  for each *i*, we may write  $x_i = 2 + y_i$  for a non-negative  $y_i$ ; thus

$$\frac{x_1 \cdots x_r}{2} = \frac{1}{2}(2+y_1) \cdots (2+y_r) \ge \frac{1}{2}(2^r + 2y_1 + 2y_2 + \dots + 2y_r)$$
$$= 2^{r-1} + x_1 + x_2 + \dots + x_r - 2r$$

As  $r \ge 2$ , one has  $2^{r-1} \ge r$  and the inequality (2.4.d) is verified.

## **3.** The proof in the case of a linear algebraic group.

Let *G* be a linear algebraic *K*-group, where *K* is an algebraically closed field of characteristic p > 0. Assume that

$$[G:G^0] \not\equiv 0 \pmod{p},$$

where  $G^0$  denotes the identity component of G. Throughout this section, we fix  $\mathbf{V} \in \mathcal{M}$ and we assume that  $\mathbf{V}$  is rational, i.e. that each  $V_i$  is a *rational* representation of G (i.e. that the homomorphism  $G \to \operatorname{GL}(V_i)$  is a morphism of algebraic groups).

3.1. **Main result in the algebraic case.** In this section, we prove the following statement:

**3.1.1.** The conclusion of Theorem 3 is valid in case G is an algebraic group for which  $[G:G^0]$  is prime to p and V is rational.

3.2. Reduction to the quasisimple case. Since the finite group  $G/G^0$  has order prime to p, all of its representations in characteristic p are semisimple. Since G is an extension of  $G/G^0$  by the connected algebraic group  $G^0$ , it follows from [Ser94, §3.4,Lemma 5] that  $\bigwedge^{\mathbf{m}} \mathbf{V}$  is semisimple for G if and only if it is semisimple for  $G^0$ . Thus we may and shall assume that G is connected.

Let  $N \triangleleft G$  denote the kernel of the homomorphism  $G \rightarrow \prod_{i=1}^{s} \operatorname{GL}(V_i)$ . Since  $\bigoplus_{i=1}^{s} V_i$  is a semisimple KG module, it is well known that G/N is reductive. Since  $\bigwedge^{\mathbf{m}} \mathbf{V}$  is semisimple for G if and only if it is semisimple for G/N, we may replace G by G/N and hence assume that G is connected and reductive.

Now, for connected reductive G, there is (see e.g. [Spr98, Ch. 9]) an isogeny

$$\prod_i G_i \times T \to G$$

where  $\prod_i G_i$  is a finite direct product of simply connected, quasisimple algebraic groups, and *T* is a torus. It follows from [Jan96, §3] that a *G* module *W* is semisimple if and only if *W* is a semisimple module for each  $G_i$  (and for *T*, which is trivial).

Hence, we may assume that G is simply connected, and quasisimple.

3.3. The simply connected, quasisimple case. Let T be a maximal torus of G, let X denote the character group of T, and let  $\Phi$  denote the set of roots of T. Choose a Borel subgroup B of G containing T; this choice determines a system of positive roots. Pick a system of simple roots  $\Delta$  and for  $\alpha \in \Delta$ , let  $\varpi_{\alpha} \in X$  denote the corresponding fundamental dominant weight.

A weight  $\lambda = \sum_{\alpha \in \Delta} n_{\alpha} \varpi_{\alpha} \in X$  is called *dominant* if  $n_{\alpha} \geq 0$  for every  $\alpha$ , and a dominant weight  $\lambda$  is called *restricted* if  $n_{\alpha} < p$  for every  $\alpha$ . The subset of dominant weights is denoted  $X^+$  and the subset of restricted weights is denoted  $X_1$ .

For each dominant weight, there is a corresponding simple rational G module denoted  $L(\lambda)$ ; furthermore, any simple rational G module is isomorphic to a unique  $L(\lambda)$ .

For a dominant weight  $\lambda$ , we have a (finite) *p*-adic expansion

$$\lambda = \lambda_0 + p\lambda_1 + p^2\lambda_2 + \cdots$$

with each  $\lambda_i$  restricted. The importance of representing weights in this way is the following result:

**3.3.1.** (Steinberg's Theorem) For  $\lambda$  as above, there is a *G*-module isomorphism

$$L(\lambda) \simeq \bigotimes_{i \ge 0} L(\lambda_i)^{[i]}$$

where  $W^{[d]}$  denotes the *d*-fold Frobenius twist of a rational G module W.

As a consequence, note that if  $\lambda = p^i \lambda'$  for  $\lambda' \in X_1$ , then for any m

(3.3.e) 
$$\bigwedge^m L(\lambda) \simeq \bigwedge^m \left( L(\lambda')^{[i]} \right) \simeq \left( \bigwedge^m L(\lambda') \right)^{[i]}.$$

According to 2.4.3 we may assume that each  $V_i$  is simple; thus there are dominant weights  $\lambda_i$  such that  $V_i \simeq L(\lambda_i)$ . By 2.4.5 we need consider only tensor indecomposable simple modules, so we may assume, in view of Steinberg's Theorem, that  $\lambda_i = p^{N_i} \mu_i$  where  $\mu_i$  is restricted and  $N_i \ge 0$ .

We will prove the following

**3.3.2.** Assume that  $N_i = 0$ , i.e. that  $\lambda_i \in X_1$ , for each *i*. Then  $\bigwedge^{\mathbf{m}} \mathbf{V}$  is semisimple and each composition factor has restricted highest weight.

For the moment, though, let us observe that 3.3.2 suffices to prove 3.1.1. Indeed, if s = 1, (3.3.e) permits one to reduce to the case  $\lambda_1 \in X_1$ , so we may suppose s > 1 and proceed by induction on s.

Without loss of generality, we may suppose that  $\lambda_1, \ldots, \lambda_t \in X_1$  and  $\lambda_{t+1}, \ldots, \lambda_s \in pX$ . For any  $\mathbf{m} \in \mathcal{N}(\mathbf{V})$ , one has

$$\bigwedge^{\mathbf{m}} \mathbf{V} \simeq \bigwedge^{\mathbf{m}'} \mathbf{V}' \otimes (\bigwedge^{\mathbf{m}''} \mathbf{V}'')^{[1]}$$

where  $\mathbf{m}' = (m_1, \ldots, m_t)$ ,  $\mathbf{m}'' = (m_{t+1}, \ldots, m_s)$ ,  $\mathbf{V}' = (V_1, \ldots, V_t)$ , and  $\mathbf{V}'' = (V_{t+1}^{[-1]}, \ldots, V_s^{[-1]})$ . If t = 0, it suffices to prove that  $\bigwedge^{\mathbf{m}''} \mathbf{V}''$  is semisimple; working by induction on the minimal value of  $N_i$ , one may reduce to the case t > 0.

This being done, 3.3.2 shows that  $\bigwedge^{\mathbf{m}'} \mathbf{V}'$  is semisimple and all its composition factors have restricted highest weight. By induction on *s*, the module  $\bigwedge^{\mathbf{m}''} \mathbf{V}''$  is semisimple, and (3.3.e) shows that all of its composition factors have highest weight in *pX*. Steinberg's Theorem now shows that  $\bigwedge^{\mathbf{m}} \mathbf{V}$  is itself semisimple.

In the remainder of this section, we finish the verification of 3.1.1 by proving 3.3.2.

3.4. The linkage principle. Let  $C \subset X^+$  denote the closure of the lowest dominant alcove for the dot action of the affine Weyl group  $W_p$ . Then C is a fundamental domain for this action of  $W_p$ . The dominant weights in this set can be described as follows:

$$\mathbf{C}^{+} = \mathbf{C} \cap X^{+} = \{\lambda \in X^{+} : \langle \lambda + \rho, \beta^{\vee} \rangle \le p\}$$

where  $\beta$  is the highest short root in  $\Phi$ . Denote by  $\hat{\mathbf{C}}$  the set  $\mathbf{C}^+ \cup \{0\}$ .

The following gives for us a useful criteria for membership in  $\hat{C}$ .

**3.4.1.** [Ser94, Prop. 3, Prop. 5] Let  $\lambda \in X_1$ . If  $\dim_K L(\lambda) < p$  then  $\lambda = 0$  or  $\langle \lambda + \rho, \beta^{\vee} \rangle < p$ ; equivalently,  $\lambda \in \hat{\mathbf{C}}$ .

The *linkage principle* (see [Jan87, II.6]) implies the following:

**3.4.2.** [Jan87, II.6.13,II.5.10] If  $\lambda \in \hat{\mathbf{C}}$ , then  $\dim_K L(\lambda)$  is equal to the value  $d(\lambda)$  of the Weyl degree formula:

$$d(\lambda) = \prod_{\alpha>0} \frac{\langle \lambda + \rho, \alpha^{\vee} \rangle}{\langle \rho, \alpha^{\vee} \rangle}.$$

Let the *character* of a G module M be the element of  $\mathbb{Z}[X]$  given by  $ch(M) = \sum_{\mu \in X} \dim_K M_\mu e^\mu$ , where  $M_\mu$  denotes the  $\mu$  weight space of M and the  $e^\mu$  are basis elements for  $\mathbb{Z}[X]$ . For  $\lambda \in X^+$ , let  $L_{\mathbb{Q}}(\lambda)$  denote the simple module with highest weight  $\lambda$  for the split simple  $\mathbb{Q}$  Lie algebra  $\mathfrak{g}_{\mathbb{Q}}$  with root system  $\Phi$ ; we denote  $ch(L_{\mathbb{Q}}(\lambda))$  by  $\chi(\lambda)$  (the character of a  $\mathfrak{g}_{\mathbb{Q}}$  module is defined via the weights of a maximal toral subalgebra on the module). For  $m \geq 1$ , it follows from the representation theory of  $\mathfrak{g}_{\mathbb{Q}}$  that there is a finite subset  $\mathcal{H}(\lambda, m) \subset X^+$  such that

(3.4.f) 
$$\operatorname{ch}(\bigwedge^m L_{\mathbb{Q}}(\lambda)) = \sum_{\mu \in \mathcal{H}(\lambda,m)} m_\mu \chi(\mu)$$

for suitable multiplicities  $m_{\mu} > 0$ .

For  $\lambda \in C$ , [Jan87, II.6.13] actually shows that  $ch(L(\lambda)) = ch(L_{\mathbb{Q}}(\lambda))$ ; it follows from [Bou72, VIII §7, exerc. 11] that:

**3.4.3.** For  $\lambda \in \hat{\mathbf{C}}$  and  $m \geq 1$ ,  $ch(\bigwedge^m L(\lambda)) = ch\bigwedge^m L_{\mathbb{Q}}(\lambda)$ . In particular, any weight  $\nu$  of  $\bigwedge^m L(\lambda)$  satisfies  $\nu \leq \mu$  for some  $\mu \in \mathcal{H}(\lambda, m)$ .

The significance of the linkage principle for semisimplicity is demonstrated by:

**3.4.4.** [Jan87, II.6.17, II.2.12 (1)] If  $\lambda, \mu \in \hat{\mathbf{C}}$ , then  $Ext^1_G(L(\lambda), L(\mu)) = 0$ .

After one notes  $\hat{\mathbf{C}} \subset X_1$ , 3.4.4 has the immediate consequence:

**3.4.5.** Suppose that  $\langle \nu + \rho, \beta^{\vee} \rangle \leq p$  for each weight  $\nu$  of the *G* module *M*. Then *M* is semisimple and each composition factor of *M* has restricted highest weight.

3.5. Weight considerations. Let us say that an *admissible pair*  $(\lambda, m)$  consists in  $\lambda \in X^+$  and  $1 \le m \le d(\lambda)/2$  such that

(3.5.g)  $\langle \nu + \rho, \beta^{\vee} \rangle \le m(d(\lambda) - m)$ 

for each weight  $\nu \in \mathcal{H}(\lambda, m)$ .

*Remark* 3.1. Let  $(\lambda, m)$  be a pair as above. Since each weight  $\nu \in \mathcal{H}(\lambda, m)$  satisfies  $\nu < m\lambda$ , one knows that  $(\lambda, m)$  is admissible in case  $\langle m\lambda + \rho, \beta^{\vee} \rangle \leq m(d(\lambda) - m)$ .

Define a partial order relation on  $X^+$  by the following simple rule: say that  $\mu \to \lambda$  provided  $\lambda - \mu \in X^+$ .

**3.5.1.** Let c > 0 be a real number. Suppose that  $d(\mu) \ge c\langle \mu + \rho, \beta^{\vee} \rangle$ . If  $\mu \to \lambda$ , then  $d(\lambda) \ge c\langle \lambda + \rho, \beta^{\vee} \rangle$ .

*Proof.* For any positive root  $\alpha$ , we have

$$\langle \lambda + \rho, \alpha^{\vee} \rangle - \langle \mu + \rho, \alpha^{\vee} \rangle = \langle \lambda - \mu, \alpha^{\vee} \rangle \ge 0$$

since  $\lambda - \mu \in X^+$ . Inspecting the Weyl degree formula, it is then clear that

$$d(\lambda) \ge d(\mu) \cdot \frac{\langle \lambda + \rho, \beta^{\vee} \rangle}{\langle \mu + \rho, \beta^{\vee} \rangle} \ge c \langle \mu + \rho, \beta^{\vee} \rangle \frac{\langle \lambda + \rho, \beta^{\vee} \rangle}{\langle \mu + \rho, \beta^{\vee} \rangle} \ge c \langle \lambda + \rho, \beta^{\vee} \rangle,$$

as desired.

*Remark* 3.2. The numberings of the fundamental dominant weights used in the following result, and throughout this paper, are those used in the tables in [Bou72].

**3.5.2.** Suppose the rank of the root system is at least 2, and let  $\lambda \in X^+$ . Then

(3.5.h) 
$$d(\lambda) \ge 2\langle \lambda + \rho, \beta^{\vee} \rangle,$$

unless  $\lambda$  is among the set of weights  $\mathcal{E} = \mathcal{E}(\Phi)$  indicated in the following table:

$\Phi$	E	$\Phi$	ε
$A_2$	$\mathfrak{a}_1, \mathfrak{a}_2, 2\mathfrak{a}_1, 2\mathfrak{a}_2$	$C_r, r \ge 3$	$\overline{\mathfrak{o}_1}$
$A_3$	$\mathfrak{D}_1,\mathfrak{D}_2,\mathfrak{D}_3$	$D_r, r \ge 5$	$\overline{\mathfrak{o}_1}$
$A_r, r \ge 4$	$\mathfrak{D}_1,\mathfrak{D}_r$	$D_4$	$\varpi_i, i = 1, 3, 4$
$B_2$	${f \mathfrak o}_1,{f \mathfrak o}_2$	$G_2$	$\mathfrak{a}_1,\mathfrak{a}_2$
$B_3$	$\mathfrak{a}_1,\mathfrak{a}_3$		
$B_r, r \ge 4$	$  \omega_1  $		

*Remark* 3.3. In [McN98], the author proves a slightly stronger estimate of this sort; namely, that  $\dim_K L(\lambda) \ge r \langle \lambda + \rho, \beta^{\vee} \rangle$  for almost all  $\lambda$ . However, the list of exceptional  $\lambda$  is larger, and the techniques used are somewhat more unwieldy than the argument given here due to the fact that  $\dim_K L(\lambda) \ne d(\lambda)$  in general.

*Sketch of proof.* Initially, let  $\lambda$  be a fundamental dominant weight. In [Bou72] Table 2, the value of  $d(\lambda)$  is recorded for each indecomposable root system and each fundamental dominant weight. A straightforward computation of  $\langle \lambda + \rho, \beta^{\vee} \rangle$  in each case yields immediately the assertion that  $\lambda$  satisfies (3.5.h) unless  $\lambda$  is among the specified exceptions.

In view of 3.5.2, the assertion holds for  $\Phi = E_6, E_7, E_8, F_4$ . Furthermore, it suffices to prove that (3.5.h) is valid for  $\lambda = \mu_1 + \mu_2$  for all possible fundamental weights  $\mu_1$ and  $\mu_2$  which fail to satisfy (3.5.h); in most cases this is true. We list below those  $\lambda$  for which one must check (3.5.h), and we indicate the value of  $d(\lambda)$  for each such  $\lambda$ ; it is then straightforward to verify (3.5.h). For unbounded rank, we provide references for the dimension assertions; in low rank the calculation of  $d(\lambda)$  is straightforward (note that some labor may be avoided in case  $\Phi = A_2, B_2, G_2$ , as  $d(\lambda)$  is given in closed form in [Hum80, §24.3] for those  $\Phi$ ).

•  $\Phi = A_r, r \ge 4$ :  $\lambda = 2\varpi_1, 2\varpi_2, \varpi_1 + \varpi_r$ . According to [McN98, Props. 4.2.2,4.6.8] one has (for  $r \ge 1$ ):

$$d(2\boldsymbol{\varpi}_1) = d(2\boldsymbol{\varpi}_r) = \binom{r+2}{2}$$
 and  $d(\boldsymbol{\varpi}_1 + \boldsymbol{\varpi}_r) = r(r+2).$ 

- $\Phi = A_3$ :  $\lambda = 2\varpi_2$ .  $d(2\varpi_2) = 20$ .
- $\Phi = A_2$ :  $\lambda = 3\omega_1, 3\omega_2, 2\omega_1 + 2\omega_2$ .  $d(\lambda) = 10, 10, 27$  respectively.
- $\Phi = B_r$ ,  $r \ge 4$ ;  $\Phi = C_r$ ,  $r \ge 3$ ;  $\Phi = D_r$ ,  $r \ge 5$ :  $\lambda = 2\omega_1$ . According to [McN98, Props. 4.2.2, 4.7.3, 4.8.1] one has:

$$d(2\boldsymbol{\varpi}_1) = \binom{2r+2}{2} - \epsilon \text{ where } \epsilon = 1, 0, 1 \text{ for } \Phi = B_r, C_r, D_r \text{ respectively}$$

- $\Phi = B_r$ :  $r = 2, 3, \lambda = 2\varpi_1, 2\varpi_r, \varpi_1 + \varpi_r$ . When  $r = 2, d(\lambda) = 14, 10, 16$  respectively. When  $r = 3, d(\lambda) = 27, 35, 48$  respectively.
- $\Phi = D_4$ :  $\lambda = \varpi_2, \varpi_i + \varpi_j$  for all pairs  $i, j \in \{1, 3, 4\}$ .  $d(\varpi_2) = 28$ ,  $d(\varpi_i + \varpi_j) = 56$  for  $i \neq j$ .  $d(2\varpi_i) = 35$  for each  $i \in \{1, 3, 4\}$ .
- $\Phi = G_2$ :  $\lambda = 2\omega_1, 2\omega_2, \omega_1 + \omega_2$ .  $d(\lambda) = 27, 77, 64$  respectively.

Our goal is to list those pairs  $(\lambda, m)$  which fail to be admissible. Towards this end, we introduce the subset  $\mathcal{E}' \subset \mathcal{E}$  as follows.

Φ	$\mathcal{E}'$	$\Phi$	$\mathcal{E}'$
$A_r \ (r \ge 2)$	$\mathfrak{D}_1,\mathfrak{D}_r$	$D_r \ (r \ge 5)$	$\overline{\mathfrak{o}_1}$
$B_r \ (r \ge 2)$	$\mathfrak{a}_1$	$D_4$	$\mathfrak{D}_1,\mathfrak{D}_3,\mathfrak{D}_4$
$C_r \ (r \ge 2)$	${\mathfrak a}_1$		

**3.5.3.** Let  $\lambda \in X^+$  and let  $1 \le m \le d(\lambda)/2$ . Then  $(\lambda, m)$  is admissible unless one of the following holds:

- (1)  $\Phi = A_1$
- (2)  $m = 1, \lambda \in \mathcal{E}'$  and  $\Phi$  is one of  $A_r$   $(r \ge 2), B_r$   $(r \ge 2), \text{ or } C_r$   $(r \ge 2)$ .
- (3) m = 2,  $\lambda = \varpi_1$  and  $\Phi = C_2$ .

If  $(\lambda, m)$  is not admissible, then

(3.5.i) 
$$\langle \mu + \rho, \beta^{\vee} \rangle \le m(d(\lambda) - m) + 1.$$

for any  $\mu \in \mathcal{H}(\lambda, m)$ .

*Proof.* We first verify (3.5.i) when  $\Phi$  has rank 1. In this case X may be identified with  $\mathbb{Z}$ , and  $X^+$  with  $\mathbb{Z}_{\geq 0}$ . For  $a \in X$ , one has d(a) = a + 1; if  $1 \leq m \leq a + 1$ , the  $\mathfrak{g}_{\mathbb{Q}} = \mathfrak{sl}_2(\mathbb{Q})$  module  $\bigwedge^m L_{\mathbb{Q}}(a)$  has highest weight given by

$$b = a + (a - 2) + \dots + (a - 2(m - 1)) = ma - 2\sum_{j=1}^{m-1} j = m(a + 1 - m)$$

whence  $b + 1 = \langle b + \rho, \beta^{\vee} \rangle = m(a + 1 - m) + 1$ . The remaining assertions of 3.5.i are straightforward to verify for the indicated inadmissible pairs; we omit the details.

For the remainder of the proof, we assume that the rank of  $\Phi$  is at least 2; assume first that  $\lambda \notin \mathcal{E}$ , i.e. that  $\lambda$  satisfies (3.5.h). As noted in Remark 3.1, one may test admissibility by considering  $\nu = m\lambda$ ; for such a  $\lambda$  one has

$$\langle m\lambda + \rho, \beta^{\vee} \rangle \le m \langle \lambda + \rho, \beta^{\vee} \rangle \le \frac{m \cdot d(\lambda)}{2} \le m(d(\lambda) - m).$$

Thus  $(\lambda, m)$  is admissible.

Now let  $\lambda \in \mathcal{E} \setminus \mathcal{E}'$ ; in this case one deduces the admissibility of  $(\lambda, m)$  for each  $1 \le m \le d(\lambda)/2$  via Remark 3.1 and the following data:

$\Phi$	$\lambda$	$d(\lambda)$	$\langle m\lambda+\rho,\beta^\vee\rangle$
$A_2$	$2\mathfrak{a}_1, 2\mathfrak{a}_2$	6	2m + 2
$A_3$	${\mathfrak a}_2$	6	m+3
$B_3$	${\mathfrak a}_3$	8	m+5
$G_2$	$\mathfrak{a}_1$	7	2m + 5
$G_2$	$\mathfrak{a}_2$	14	3m + 5

Finally suppose that  $\lambda \in \mathcal{E}'$ . It follows from constructions in [Bou72, VIII] that in the expression (3.4.f) one has  $m_{\mu} = 1$  for each  $\mu \in \mathcal{H}(\lambda, m)$ , and that  $\mathcal{H}(\lambda, m)$  is as specified in the following table (3.5.j). (For type  $A_r$ ,  $B_r$ , and  $C_r$ , see *loc. cit.* VIII.§13 no. 1,2,3 respectively; for type  $D_r$ , see *loc. cit.* VIII.§13 no. 4 and exerc. VIII.§13.10. Note that a description of the character  $\bigwedge^m L(\varpi_i)$  for type  $D_4$  and i = 3, 4 is easily obtained by triality from the given description of  $\bigwedge^m L(\varpi_1)$ .)

(3.5.j)	Φ	$\lambda$	$d(\lambda)$	Conditions		$\mathcal{H}(\lambda,m)$
	$A_r$	$\mathfrak{a}_1$	r+1	$1 \le m \le r$		$\overline{\mathfrak{Q}}_m$
	$A_r$	$\mathfrak{D}_r$	r+1	$1 \le m \le r$		$\varpi_{r+1-m}$
	$B_r, r \ge 2$	$\mathfrak{a}_1$	2r + 1	$1 \le m \le r - 1$		$\mathfrak{D}_m$
	$B_r, r \ge 2$	$\mathfrak{a}_1$	2r + 1	m = r		$2\varpi_r$
	$C_r, r \ge 2$	$\mathfrak{a}_1$	2r	$1 \le m \le r, m \equiv 0$	$\pmod{2}$	$\boldsymbol{\varpi}_m, \boldsymbol{\varpi}_{m-2}, \dots, \boldsymbol{\varpi}_2, 0$
	$C_r, r \ge 2$	$\mathfrak{a}_1$	2r	$1 \leq m \leq r, m \equiv 1$	$\pmod{2}$	$\mathfrak{D}_m,\mathfrak{D}_{m-2},\ldots,\mathfrak{D}_3,\mathfrak{D}_1$
	$D_r, r \ge 4$	$\mathfrak{a}_1$	2r	$1 \le m \le r - 2$		$\mathfrak{D}_m$
	$D_r, r \ge 4$	$\mathfrak{a}_1$	2r	m = r - 1		$\varpi_r + \varpi_{r-1}$
	$D_r, r \ge 4$	$\mathfrak{a}_1$	2r	m = r		$2\varpi_r, 2\varpi_{r-1}$

To complete the proof, fix  $\lambda \in \mathcal{E}'$  and let  $d = d(\lambda)$ ,  $2 \leq m \leq d/2$ . Suppose  $\nu \in \mathcal{H}(\lambda, m)$ . One has  $m(d - m) \geq m(d/2) \geq d$ , so in this case the admissibility of  $(\lambda, m)$  follows provided  $\langle \nu + \rho, \beta^{\vee} \rangle \leq d$ ; the data in table (3.5.j) permits one to verify this latter condition holds if  $\Phi \neq C_r$  (and  $m \geq 2$ ).

Suppose now that  $\Phi = C_r$ ; we only must consider  $\lambda = \varpi_1$ . Using table (3.5.j), one checks that  $\langle \nu + \rho, \beta^{\vee} \rangle \leq 2r + 1$  for each  $\nu \in \mathcal{H}(\lambda, m)$ . Assume first that  $3 \leq m \leq d/2 = r$ ; in that case  $m(d-m) \geq 3r \geq 2r + 1$  and the result holds. When m = 2 and  $r \geq 3$ , one gets the desired result by noting  $m(d-m) = 2(2r-2) = 4(r-1) \geq 2r + 1$ .

The above handles  $m \ge 2$ . When m = 1, we only must consider  $\Phi = D_r$  and the weight  $\lambda = \varpi_1$ . The table shows that  $\langle \nu + \rho, \beta^{\vee} \rangle = 2r - 2 < 2r - 1 = d - 1$  for each  $\nu \in \mathcal{H}(\lambda, 1) = \{\varpi_1\}$ , whence the admissibility of  $(\varpi_1, 1)$  in this case.

We are now is a position to complete the proof of 3.3.2 (and hence of 3.1.1). Let  $\mathbf{V} = (L(\lambda_1), \ldots, L(\lambda_s))$  with each  $\lambda_i$  restricted, and let  $\mathbf{m} \in \tilde{\mathcal{N}}(\mathbf{V})$ . In view of (3.4.1),  $\lambda_i \in \hat{\mathbf{C}}$  for each *i*.

Any weight  $\nu$  of  $\bigwedge^{\mathbf{m}} \mathbf{V}$  has the form  $\nu = \nu_1 + \cdots + \nu_s$  where  $\nu_i$  is a weight of  $\bigwedge^{m_i} L(\lambda_i)$ . According to 3.4.3, there is a weight  $\mu_i \in \mathcal{H}(\lambda_i, m_i)$  with  $\nu_i \leq \mu_i$ ; since  $\langle \nu_i, \beta^{\vee} \rangle \leq \langle \mu_i, \beta^{\vee} \rangle$ , we may as well assume that  $\nu_i \in \mathcal{H}(\lambda_i, m_i)$  for each *i*. We will verify 3.3.2; in most cases we will do this by checking that 3.4.5 holds.

After re-ordering, we may suppose that for some  $1 \le i \le s+1$ ,  $(\lambda_j, m_j)$  is admissible if and only if j < i.

Suppose first that i > 1; in this case note that (3.5.i) yields  $\langle \lambda_k, \beta^{\vee} \rangle \leq m_k (d(\lambda_k) - m_k)$  for  $i \leq k$ . Combining this with the admissibility of the first i - 1 weights yields

$$\langle \nu + \rho, \beta^{\vee} \rangle \le \sum_{j=1}^{i-1} \langle \nu_j + \rho, \beta^{\vee} \rangle + \sum_{k=i}^s \langle \nu_j, \beta^{\vee} \rangle \le \sum_{\ell=1}^s m_\ell (d(\lambda_\ell) - m_\ell) < p,$$

as desired.

Now suppose that i = 1, i.e. that no pair  $(\lambda_j, m_j)$  is admissible. If  $\Phi = A_1$ , we have by (3.5.i)

$$b = \langle \nu, \beta^{\vee} \rangle \le \sum_{i=1}^{s} m_i (d(\lambda_i) - m_i) < p$$

whence  $b + 1 = \langle \nu + \rho, \beta^{\vee} \rangle \leq p$ , as asserted. So we now assume that the rank of  $\Phi$  is at least 2. If s = 1, the semisimplicity of  $\bigwedge^{\mathbf{m}} \mathbf{V}$  is trivial in case  $m_1 = 1$ ; the only situation not handled by this observation is  $m_1 = 2$ ,  $\lambda_1 = \varpi_1$ ,  $\Phi = C_2$ . A straightforward computation shows that  $\bigwedge^2 L(\varpi_1)$  is semisimple with restricted composition factors unless p = 2; see [McN98, Lemma 4.5.3] and note that p = 2 is ruled out by the condition  $\mathbf{m} \in \mathcal{N}(\mathbf{V})$ .

Finally, suppose s > 1. Using (3.5.i), one has

$$\langle \nu + \rho, \beta^{\vee} \rangle \leq \sum_{i=1}^{s} \langle \nu_i + \rho, \beta^{\vee} \rangle - (s-1) \langle \rho, \beta^{\vee} \rangle \leq \sum_{i=1}^{s} m_i (d(\lambda_i) - m_i) + s - (s-1) \langle \rho, \beta^{\vee} \rangle$$
  
$$$$

where  $h - 1 = \langle \rho, \beta^{\vee} \rangle \ge 2$  (*h* is the Coxeter number). Since  $s \ge 2$ , one has  $s \ge \frac{h-1}{h-2}$  and 3.4.5 is verified in this case.

This completes the proof of 3.1.1.

*Remark* 3.4. Let  $V = L(\lambda)$ ,  $\lambda \in \mathcal{E}'$ . More can be said about the G module  $\bigwedge^m V$ . If  $\Phi = B_r$ or  $D_r$ , assume  $p \neq 2$ . If  $\Phi = C_r$ , assume p > r. Then one has  $\bigwedge^m V \simeq \bigoplus_{\mu \in \mathcal{H}(\lambda,m)} L(\mu)$ . These assertions are verified in [McN98, Prop. 4.2.2] in the following situations:  $\Phi = A_r$ ;  $\Phi = B_r$  and m < r;  $\Phi = D_r$  and m < r - 1. For  $\Phi = B_r$ , the assertion for  $\bigwedge^r V$ follows from [Sei87, 8.1]; for  $\Phi = D_r$ , the assertion for  $\bigwedge^{r-1} V$  follows from [Sei87, 8.1]. When  $\Phi = C_r$ , see [McNa, Prop. 6.3.5.] where the indecomposable summands of  $\bigwedge^m V$ are worked out for all p. The only remaining situation is  $\bigwedge^r V$  for type  $D_r$ . As a suitable reference was not located, we sketch an argument.

Let *F* be a field of characteristic  $p \ge 0$ ,  $p \ne 2$ , and let (V,q) be a non-degenerate quadratic *F*-space with  $\dim_F V = 2r$ ,  $r \ge 3$ . Let G = SO(V,q); then *G* is the group of *F* points of an algebraic group G of type  $D_r$ , and *V* is an *F*-form of the rational G-module  $L(\varpi_1)$ . Assume that *V* has an orthogonal basis  $\{e_i\}$  for which  $q(e_i) = \alpha_i$ , and let  $\Delta = \Delta(q) = (-1)^r \alpha_1 \cdots \alpha_{2r}$ ; of course a different choice of orthogonal basis results in a

different value of  $\Delta$ , but any choice yields the same element in  $F^{\times}/(F^{\times})^2$ . In particular, the field extension  $F' = F(\sqrt{\Delta})$  is well defined.

In [KMRT98, Proposition (10.22)], a *G*-automorphism  $\tau$  of  $\bigwedge^r V$  is constructed with the property that  $\tau^2$  is given by multiplication with  $1/\Delta$ . Let  $V' = V \otimes_F F'$ ; then  $\bigwedge^r V'$ is the direct sum of eigenspaces  $E_{\pm}$  for  $\tau$  with eigenvalues  $\pm 1/\sqrt{\Delta}$ . These eigenspaces are F'G submodules of  $\bigwedge^r V'$  (in fact, they are even F'SO(V', q') submodules).

Write  $V = Fe \oplus W$  as an orthogonal sum with *e* non-singular, and let  $H = SO(W) \le G$ . Then *H* is the group of *F* points of an algebraic group of type  $B_{r-1}$ . Evidently

$$\operatorname{res}_{H}^{G}(\bigwedge^{r} V) \simeq \bigwedge^{r-1} W \oplus \bigwedge^{r} W.$$

Using (2.2.a), we have  $\bigwedge^r W \simeq \bigwedge^{r-1} W$ , and we have already seen that this module is absolutely simple for FH. Since  $\operatorname{res}_{H}^{G}(\bigwedge^r V')$  has length 2, it follows at once that the F'G modules  $E_{\pm}$  are simple. Working over an algebraic closure  $\overline{F}$  (or over any field which splits q), one finds that the highest weights of  $\bigwedge^r V \otimes_F \overline{F}$  are  $2\varpi_r$  and  $2\varpi_{r-1}$ ; since these weights are incomparable, it follows by length considerations that  $\bigwedge^r V \otimes_F$  $\overline{F} \simeq L(2\varpi_r) \oplus L(2\varpi_{r-1})$ . In particular,  $E_+$  and  $E_-$  are non-isomorphic. This gives the claimed result. We have shown that  $\bigwedge^r V$  is an absolutely semisimple FG module of absolute length 2; if  $\Delta \in (F^{\times})^2$  then  $\operatorname{End}_{FG}(\bigwedge^r V) \simeq F \times F$ , otherwise  $\operatorname{End}_{FG}(\bigwedge^r V) \simeq F'$ and  $\bigwedge^r V$  is simple for FG.

#### 4. THE PROOF FOR AN ARBITRARY GROUP

The argument presented in this section follows very closely that given in [Ser94]. For completeness we outline the entire argument.

4.1. Saturation. Let *V* be a vector space of dimension *n* over *K*, and let  $u \in GL(V)$  be an element of order *p*. Then x = u - 1 is a nilpotent endomorphism of *V* satisfying  $x^p = 0$ .

One defines a homomorphism  $\phi_s : K \to \operatorname{GL}(V)$  by using a truncated exponential. More precisely, for  $t \in K$ , define  $\phi_u(t) = u^t \in \operatorname{GL}(V)$  to be

(4.1.k) 
$$u^{t} = \sum_{i=0}^{p-1} {t \choose i} x^{i} = 1 + tx + \frac{t(t-1)}{2} x^{2} + \cdots$$

**4.1.1.** [Ser94, §4.1] The homomorphism  $\phi_u : K \to GL(V)$  is uniquely characterized by the following properties:

P1.  $\phi_u(1) = u$ .

P2.  $\phi_u$  has degree < p, (i.e.  $t \mapsto u^t$  is polynomial in t of degree < p).

A subgroup  $H \leq GL(V)$  is called *saturated* if every unipotent element u of H satisfies  $u^p = 1$  and  $u^t \in H$  for every  $t \in K$ .

From our point of view, the important fact about saturated subgroups of GL(V) is the following:

**4.1.2.** [Ser94, Proposition 11] Let  $H \leq GL(V)$  be an algebraic subgroup which is saturated. Then  $[H : H^0] \not\equiv 0 \pmod{p}$ , where  $H^0$  denotes the identity component of H.

4.2. The proof of Theorem 3. Let *G* by a group, let V be a sequence of semisimple *G*-modules of dimension  $n_i$ , and let  $\mathbf{m} \in \mathcal{N}(\mathbf{V})$ . Let *H* denote the subgroup of  $GL(\mathbf{V}) = GL(V_1) \times \cdots \times GL(V_s)$  consisting of all elements x so that  $\bigwedge^{\mathbf{m}}(\mathbf{x}) = \bigwedge^{m_1} x_1 \otimes \cdots \otimes \bigwedge^{m_s} x_s$  leaves stable each subspace of  $\bigwedge^{\mathbf{m}} \mathbf{V}$  which is stable under *G*. Then *H* is an *algebraic* subgroup of  $GL(\mathbf{V})$ , and  $\bigwedge^{\mathbf{m}} \mathbf{V}$  is a rational *H* module. Furthermore,  $\bigwedge^{\mathbf{m}} \mathbf{V}$  is a semisimple *G* module if and only if it is a semisimple module for *H*.

In view of the results of section 3, Theorem 3 will follow provided that we argue  $[H : H^0] \not\equiv 0 \pmod{p}$ . To verify this property, we invoke 4.1.2; we must verify that *H* is saturated.

**4.2.1.** Let  $\mathbf{u} \in H$  be unipotent. Then  $\mathbf{u}^p = 1$ .

*Proof.* This follows (as noted in [Ser94, 4.2]) since every unipotent in  $GL(V_i)$  has this property when  $\dim_K V_i \leq p$ .

**4.2.2.** Let  $\mathbf{u} \in H$  be a unipotent element. Then  $\mathbf{u}^t \in H$  for all  $t \in K$ .

*Proof.* We must verify that  $\bigwedge^{\mathbf{m}}(\mathbf{u}^t)$  leaves stable each *G*-invariant subspace of  $\bigwedge^{\mathbf{m}} \mathbf{V}$ . It is straightforward to see that  $(\bigwedge^{\mathbf{m}} \mathbf{u})^t$  leaves stable each *G*-invariant subspace of  $\bigwedge^{\mathbf{m}} \mathbf{V}$ , so it suffices to show that  $\bigwedge^{\mathbf{m}}(\mathbf{u}^t) = (\bigwedge^{\mathbf{m}} \mathbf{u})^t$ . In view of the uniqueness in 4.1.1 and the fact that  $\bigwedge^{\mathbf{m}}(\mathbf{u}^1) = (\bigwedge^{\mathbf{m}} \mathbf{u})^1$ , it suffices to show that  $t \mapsto \bigwedge^{\mathbf{m}}(\mathbf{u}^t)$  is polynomial of degree f < p.

Let  $f_i$  denote the degree of the map  $t \mapsto \bigwedge^{m_i} u_i^t$ ; evidently  $f = \sum_{i=1}^s f_i$ . Thus we are reduced to showing the following:

**4.2.3.** If V is a K vector space and  $u \in GL(V)$  is unipotent, then the degree f of  $t \mapsto \bigwedge^{m}(u^{t})$  satisfies  $f \leq m(\dim_{K} V - m)$ .

Let  $e_1, e_2, \ldots, e_n$  be a basis of V chosen so that the unipotent element u fixes the "standard flag"

$$E_0 = 0 \subset E_1 = Ke_1 \subset E_2 = Ke_1 + Ke_2 \subset \cdots \subset E_n = V.$$

It follows that x = u - 1 satisfies  $x(E_i) \subseteq E_{i-1}$ .

We adopt the convention that  $e_i = \text{if } i \leq 0 \text{ or } i > n$ . For each *m*-tuple of integers  $\vec{a}$ , let

$$e(\vec{a}) = e_{a(1)} \wedge e_{a(2)} \wedge \dots \wedge e_{a(m)} \in \bigwedge^m V$$

Of course  $e(\vec{a}) = 0$  if any two components of  $\vec{a}$  coincide, or if any a(i) fails to lie between 1 and n. Put  $|\vec{a}| = \sum_{i} a(i)$ . It is straightforward to verify that:

**4.2.4.** If  $\vec{a}$  is an *m*-tuple such that  $e(\vec{a}) \neq 0$ , then  $\frac{m(m+1)}{2} \leq |\vec{a}| \leq mn - \frac{m(m-1)}{2}$ .

Fix  $\vec{a}$  with  $e(\vec{a}) \neq 0$ , and consider the morphism

$$f_{\vec{a}}: K \to \bigwedge^m V$$

given by  $t \mapsto \bigwedge^m(u^t) \cdot e(\vec{a})$ . The degree of the polynomial map  $t \mapsto \bigwedge^m(u^t)$  is equal to  $\sup\{\deg(f_{\vec{a}})\}$ , the sup taken over all choices of  $\vec{a}$  as above.

In view of the definition of  $u^t$  and the fact that

$$\bigwedge^{m}(u^{t})e(\vec{a}) = (u^{t}e_{a(1)}) \wedge (u^{t}e_{a(2)}) \wedge \dots \wedge (u^{t}e_{a(n)}),$$

it suffices to show the following:

**4.2.5.** Let  $\vec{a}$  be such that  $e(\vec{a}) \neq 0$ . Whenever  $\vec{b}$  is an *m*-tuple of positive integers with  $|\vec{b}| > m(n-m)$ , then  $e(\vec{a}-\vec{b}) = 0$ .

To prove this, we note that 4.2.4 gives an upper bound for  $|\vec{a}|$ , so we have

$$|\vec{a} - \vec{b}| = |\vec{a}| - |\vec{b}| < |\vec{a}| - m(n - m) \le mn - \frac{m(m - 1)}{2} - m(n - m) = \frac{m(m + 1)}{2},$$

whence  $e(\vec{a} - \vec{b}) = 0$  by the lower bound given in 4.2.4. We have thus verified 4.2.2.

The fact that H is saturated now follows; as noted above, this completes the proof of Theorem 3.

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